A randomized controlled trial of three orthodontic retention methods in Class I four premolar extraction cases – stability after 2 years in retention

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Structured Abstract

Objective – To evaluate three different retention methods in compliant patients after 2 years of retention.

Design – Three group randomized controlled trial.

Materials and Methods – The sample was recruited from patients having their fixed appliance treatment between 2001 and 2007. Seventy-five patients (45 girls and 30 boys with a mean age of 14.4 years at start of retention) were randomized into three retention methods: vacuum-formed retainer in the maxilla and bonded canine-to-canine retainer in the mandible (Group V-CTC), vacuum-formed retainer in the maxilla combined with stripping of the 10 proximal surfaces of the lower mandibular anterior teeth (Group V-S) and prefabricated positioner covering the teeth in the maxilla and the mandible (Group P). The following linear measurements were performed: Little’s irregularity index (LII), intercanine width, intermolar width, arch length, overjet, overbite and body height growth. Registrations were made before orthodontic treatment, at start of retention, after 12 and finally 24 months in retention. Differences in means between groups were tested by one-way analysis of variance (SPSS).

Results – After 2 years all three retention methods were successful in retaining orthodontic treatment results. The major part of relapse took place during the 1st year of retention.

Conclusions – All 3 types of retention methods were equally effective in controlling relapse to a clinically acceptable level.

Key words: orthodontics; RCT; retention methods; treatment outcome
Introduction

One of the biggest challenges of orthodontic treatment is to maintain the achieved treatment result (1–3). Massive efforts have been carried out to find the best strategies to retain the teeth in their new position after orthodontic treatment and to avoid relapse. Focus has been on malocclusion before treatment or after debonding to find any connection with stability and tooth position (4). Different retention treatments have also been analysed with respect to tooth stability and in the last decade several well-designed randomized controlled trials (RCTs) have been published (5–8). Small but significant differences have been reported when it comes to comparing retention appliance capacities. One study showed that vacuum-formed retainers were more effective than Hawley retainers to retain teeth after 6 months (9). Furthermore, it has been shown that full-time and part-time wear of an Essix retainer had the same effect (10). In our previous study the efficiency of three retention methods was analysed (8). From a clinical perspective it was evident that all three retention methods had equal capacity to retain treatment results during the first year.

By tradition growth, initial crowding as well as cooperation have been pointed out as factors influencing orthodontic treatment stability (2, 4, 11, 12). Bacetti et al. (13) have demonstrated extensive increase of mandibular length during pubertal growth. As long as growth of maxilla and mandible is going on it may affect the position of teeth and, thus the result of retention treatment. Therefore the purpose of the present study was to analyse and compare three retention methods after 2 years of retention in a randomized controlled trial design, and also, to evaluate the retention capacity in relation to cooperation, growth, initial crowding and gender. The null hypothesis was that there would be no clinical difference in retention capacity between the three retention methods in compliant patients.

Subjects and methods

The Ethics Committee of Lund/Malmö University, Sweden, approved the protocol and the informed consent form (LU515-01). Each patient and parent were given oral as well as written information and had to sign the written consent before being included in the trial. The study was carried out on patients referred to an orthodontic clinic in the National Health Service (NHS), Ystad, Sweden. The NHS clinic was responsible for treatment of malocclusions of patients in the southeast County Council of Scania. In Sweden free dental care including orthodontic treatment is offered to patients with a certain degree of malocclusion up to the age of 20 years. One experienced orthodontist treated all the patients (GET). The patients taking part in the study underwent orthodontic treatment between 2001 and 2007 and the following inclusion criteria were to be met: no previous experience of orthodontic treatment, permanent dentition, space deficiencies in both jaws, normal skeletal and dentoalveolar sagittal, vertical and transverse relationships, Class I molar relationship or 3 mm anterior or posterior deviation, and a treatment plan involving extraction of four premolars followed by fixed straight wire appliances (0.022 inch, MBT) in both jaws. The generation of randomisation sequence was performed in blocks of five to ensure that equal numbers of patients were allocated to each of the three retention groups. Fifteen paper sheets, five ballots with maxillary vacuum-formed retainer and bonded mandibular canine-to-canine retainer (V-CTC), five with maxillary vacuum-formed retainer and mandibular interproximal enamel reduction (V-S) and five with positioner (P) were placed in a basket. The patient then decided the retention treatment by picking a ballot from the basket.

Retention methods

The three retention methods of choice were:

- A removable vacuum-formed retainer covering the palate and the maxillary anterior teeth from canine-to-canine and a bonded canine-to-canine retainer in the lower arch (Group V-CTC), (Fig. 1A)
- An identical maxillary vacuum-formed retainer as in Group V-CTC was combined with
stripping of the lower anterior teeth and no lower retention device (Group V-S), (Fig. 1B)

- A prefabricated positioner covering all erupted teeth in the maxilla and the mandible (Group P), (Fig. 1C)

The vacuum-formed retainers were made of 2 mm Biolon (Dreve Dentamid GmbH, Unna, Germany) in a Scheu Ministar press (Scheu-Dental GmbH, Iserlohn, Germany). The canine retainers consisted of 0.7 mm spring hard wire (Dentaurum noninium, Dentaurum, Ispringen, Germany) bonded with Transbond LC (3M Unitek Orthodontic Products, Monrovia, CA, USA) to the lower canines.

Mechanical stripping of the lower incisors and canines was performed either by hand with single sided medium and fine metal blades (TP Orthodontics, La Porte, IN, USA) or with Ortho-Strips for the EVA system (GAC International, New York, NY, USA). The method of stripping was dependent on tooth form, non-triangular or triangular respectively, and was either performed on the visit 5–6 weeks prior to debonding or at debonding. The aim of stripping was to obtain small but distinct enamel flattening of the contact surfaces. The reduction of any contact point between two teeth amounted approximately to the thickness of the coarse blade of either system, i.e. 0.22 mm for hand stripping or 0.34 mm for EVA-stripping. At debonding all spaces were closed and no additional stripping was performed.

The preformed positioner (Ortho-Tain Positioner, Ortho-Tain Inc., Toa Alta, Puerto Rico, USA) was a soft plastic device covering all erupted teeth.

All retention appliances were handed out within 1 h after debonding. The patients in groups V-CTC and V-S were instructed to wear the vacuum-formed retainer 22–24 h per day for 2 days and nights and then during the night for 12 months. In group P the positioner was to be worn for 30 min during the daytime and during sleep for 12 months. During the daytime wear, patients were instructed to actively chew into their positioners. The second year of retention patients wore their retainers every other night and visited the clinic twice for control of cooperation and appliances. At the end of the second year all retainers were taken off.

**Documentation**

Dental casts were obtained on four occasions, i.e. before orthodontic treatment, when the fixed
orthodontic appliance was removed, after 12 and finally after 24 months of retention. The following linear measurements were made: Little’s irregularity index (LII) of maxilla and mandible (14), intercanine width of maxilla and mandible, intermolar width of maxilla and mandible, arch length of maxilla and mandible, overjet and overbite. The same examiner (GET), who was blinded for the retention protocol, performed all measurements with an electronic digital calliper (Mauser Digital 6, Winterthur, Switzerland) to a precision of 0.01 mm. Study casts before orthodontic treatment, at retention start, after 12 and 24 months of retention were measured independently of each other.

Compliance was estimated on every visit to the clinic. Patients were asked how many nights per week they had used their retainers. Compliance was considered as excellent if the vacuum-formed retainer in groups V-CTC and V-S fitted well on the teeth and in group P if the positioner had changed its colour into less translucent.

Body height was measured on every visit to the clinic with a wooden standard apparatus mounted on the wall to a precision of 0.1 cm and with no shoes on and head and heels to the wall.

Successful retention was decided according to the Little Irregularity Index. Values < 3.5 mm were defined as successful (15).

Statistical analysis

The sample size for each group was calculated based on a significance level of 0.05 and 80 per cent power to detect clinically meaningful difference of 2.0 mm (SD = 2.0 mm) of the LII. The power analysis showed that 16 patients in each group were sufficient. To compensate for dropouts in future follow-up studies, 25 patients were enrolled in each group. The strategy of analysis is best described as per protocol (PP). Dropouts were randomly spread in the randomized groups. Arithmetic means and standard deviations (SD) on group level at times corresponding to pretreatment, start of retention and after one and finally 2 years of retention were calculated for each variable. Significant differences in means between groups were tested by one-way analysis of variance (ANOVA) using the Statistical Package for Social Sciences (version 14.0; SPSS Inc., Chicago, IL, USA). The relapse of LII between severe (>6.5 mm) and mild (<3.5 mm) initial crowding was tested by independent-samples t-test. The degree of relapse in the lower front in relation to retention method, cooperation, growth, initial crowding and gender was tested by univariate analysis of variance. p-values < 5 per cent (p < 0.05) were considered statistically significant.

Error of method

Twenty randomly selected dental casts were measured on two separate occasions with a 4-week interval by the same examiner (GET). The method error (16) did not exceed 0.45 mm for any of the 10 measurements. No significant mean differences between the two series of records were found using paired t-test. All results were tested for normality.

Results

After 2 years of retention the trial consisted of 69 patients out of 75 from the start. Four patients did not show up to the 2-year follow up (one girl in group V-CTC, two boys in group V-S and one boy in group P) and 2 boys in group P were excluded during the 1st year as they never used their retainers. In group V-CTC there were 24 patients (17 girls and 7 boys), in group V-S 23 patients (14 girls and 9 boys) and in group P 22 patients (13 girls and 9 boys) (Fig. 2). Altogether, the mean age at start of retention was 14.4 years (SD 1.5) and the mean active treatment time lasted 1.7 years (SD 0.4). There were no significant differences between any of the three retention groups regarding age at start of treatment, active treatment time, body height at retention start or gender. In addition, there were no significant differences in linear measurements on study casts between any of the retention groups before and after active treatment.
Retention capacity

Maxilla
- The only significant difference between groups was found after 2 years of retention for the mean change in maxillary intercanine width between P (−1.8 mm) vs. V-CTC (−1 mm) and V-S (−0.9 mm) (p < 0.01). Most of this difference happened during the 1st year though differences were not significant then (Table 1).

Mandible
- There was a significant difference in mean mandibular LII change between V-CTC (0.6 mm) and P (1.6 mm) (p < 0.01). The change was largest but not significant during the 1st year of retention but the change went on in the P group (Table 2).
- There was a significant difference in mean intercanine width change between V-CTC (0.2 mm), an increase, vs. V-S (−1.0 mm) and P (−1.1 mm) (p < 0.001), with decreases. The difference between V-CTC and V-S was seen already the 1st year (p < 0.001). During the 2nd year there was a significant mean intercanine width change between P (−0.4 mm) vs. V-CTC (0.1 mm) and V-S (0.0 mm) (p < 0.01). After 2 years the mean intercanine width differed significantly between V-CTC (27.6 mm) and V-S (26.4 mm) (p < 0.05).
- Intermolar width and arch length did not differ significantly at the end of the 2 year follow-up period.

Overjet and overbite
- There was a significant difference in mean overjet between V-CTC (2.8 mm) and V-S (3.6 mm) (p < 0.05). The mean overjet change was a reduction in V-CTC (−0.3 mm) and an increase in V-S (0.5 mm) (p < 0.05; Table 3).
- The mean overbite was reduced, albeit not significantly, in V-CTC (−0.4 mm) and increased in V-S (0.4 mm) and P (0.2 mm). Nevertheless, during the 1st year there was a significant difference in mean overbite change between V-CTC (−0.4 mm) and V-S (0.2 mm) (p < 0.05).

Retention capacity and cooperation

Out of 69 patients 38 cooperated excellently and 31 were judged to cooperate well (Fig. 3).
shows a negative correlation in the group with excellent compliance between change in mandibular LII and growth but a positive correlation in the well cooperating group. This means that when patient cooperation was excellent LII did not change despite the fact that patients were growing. When tested with independent samples t-test there was a significant difference in mean mandibular change of LII with 0.7 mm in the excellently cooperating group and 1.3 mm in the well cooperating group (\( p < 0.05 \)). There was no significant difference in cooperation between boys and girls.

During the 2 years of retention, 5 patients lost their vacuum-formed retainer and had to have it replaced with a new one. No patients lost their positioner. Out of 24 patients 17 kept their CTC in situ. Three patients had their CTC rebonded once, 3 patients had it rebonded twice and one patient had his CTC rebonded four times. These complications did not have any significant influence on the outcome of retention.

Retention capacity and body height growth

All patients were to be measured at start of retention and after 2 years of retention but 13 measurements were missed out, i.e. 56 patients were measured adequately (Fig. 4). In group V-CTC (14 girls and 5 boys) the mean body height increase was 1.4 cm (SD 1.8), in V-S (9 girls and 7 boys) 2.4 cm (SD 3.1) and in P (13 girls and 8 boys) 1.4 cm (SD 2.5). There were no significant differences in body height growth between the groups.
Table 2. The mean measurements (mm) and the mean changes for the mandible from start of retention to one and 2 years of retention in the three retention groups: removable vacuum-formed retainer and bonded lower canine-to-canine retainer (V-CTC), removable vacuum-formed retainer and lower anterior stripping (V-S), and positioner (P).

<table>
<thead>
<tr>
<th>MANDIBLE</th>
<th>V-CTC n = 24</th>
<th>V-S n = 23</th>
<th>P n = 22</th>
<th>ANOVA</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Mean</td>
<td>SD</td>
<td>Mean</td>
<td>SD</td>
</tr>
<tr>
<td>LII after 1 year</td>
<td>1.0</td>
<td>0.6</td>
<td>1.1</td>
<td>1.1</td>
</tr>
<tr>
<td>Change during 1st year</td>
<td>0.6**</td>
<td>0.7</td>
<td>1.2**</td>
<td>1.0</td>
</tr>
<tr>
<td>LII after 2 years</td>
<td>0.9**</td>
<td>0.7</td>
<td>1.2**</td>
<td>1.0</td>
</tr>
<tr>
<td>Change during 2nd year</td>
<td>0.0</td>
<td>0.5</td>
<td>0.2</td>
<td>0.3</td>
</tr>
<tr>
<td>Summarized change 2 years</td>
<td>0.6**</td>
<td>0.7</td>
<td>0.9</td>
<td>0.8</td>
</tr>
<tr>
<td>Intercanine width after 1 year</td>
<td>27.4</td>
<td>1.5</td>
<td>26.4</td>
<td>2.1</td>
</tr>
<tr>
<td>Change during 1st year</td>
<td>0.0***</td>
<td>0.4</td>
<td>-1.0***</td>
<td>0.9</td>
</tr>
<tr>
<td>Intercanine width after 2 years</td>
<td>27.6*</td>
<td>1.3</td>
<td>26.4*</td>
<td>2.1</td>
</tr>
<tr>
<td>Change during 2nd year</td>
<td>0.1**</td>
<td>0.4</td>
<td>0.0**</td>
<td>0.5</td>
</tr>
<tr>
<td>Summarized change 2 years</td>
<td>0.2***</td>
<td>0.5</td>
<td>-1.0***</td>
<td>1.0</td>
</tr>
<tr>
<td>Intermolar width after 1 year</td>
<td>42.2</td>
<td>1.5</td>
<td>41.7</td>
<td>2.5</td>
</tr>
<tr>
<td>Change during 1st year</td>
<td>0.0</td>
<td>1.1</td>
<td>-0.6</td>
<td>1.8</td>
</tr>
<tr>
<td>Intermolar width after 2 years</td>
<td>42.0</td>
<td>1.7</td>
<td>41.2</td>
<td>2.5</td>
</tr>
<tr>
<td>Change during 2nd year</td>
<td>-0.2</td>
<td>0.6</td>
<td>-0.5</td>
<td>0.5</td>
</tr>
<tr>
<td>Summarized change 2 years</td>
<td>-0.3</td>
<td>1.2</td>
<td>-1.0</td>
<td>2.1</td>
</tr>
<tr>
<td>Arch length after 1 year</td>
<td>18.6</td>
<td>1.3</td>
<td>17.7</td>
<td>1.4</td>
</tr>
<tr>
<td>Change during 1st year</td>
<td>0.7*</td>
<td>1.0</td>
<td>0.0*</td>
<td>0.8</td>
</tr>
<tr>
<td>Arch length after 2 years</td>
<td>18.3</td>
<td>1.7</td>
<td>17.4</td>
<td>1.6</td>
</tr>
<tr>
<td>Change during 2nd year</td>
<td>-0.3</td>
<td>0.7</td>
<td>-0.2</td>
<td>0.4</td>
</tr>
<tr>
<td>Summarized change 2 years</td>
<td>0.4</td>
<td>1.1</td>
<td>-0.1</td>
<td>0.9</td>
</tr>
</tbody>
</table>

*p < 0.05, **p < 0.01, ***p < 0.001.
LII, Little’s Index.

significant differences between the groups during the 2 years. The boys grew significantly more than the girls, with a mean of 3.3 cm (SD 3.2) vs. a mean of 0.8 cm (SD 1.2) for the girls. However, boys and girls did not differ significantly in any other measurements. During the 2 years of retention 29 patients (14 girls and 15 boys) grew more than 1 cm and 4 (1 girl and 3 boys) of those 29 patients had a relapse of mandibular LII more than 3.5 mm with a maximum of LII 4.9 mm. No correlation was found between mean change of LII and body height growth.

Retention capacity and initial crowding

After 2 years of retention there was no significant difference in mandibular LII between the group of 18 patients with initial mild crowding (LII < 3.5 mm) and the group of 28 patients with initial severe crowding (LII > 6.5 mm) (Table 4).
Retention capacity and gender

After 2 years there were 44 girls and 25 boys in the study. No significant difference in any measurements between boys and girls were found.

Successful retention

After 2 years of retention all three retention methods had a good capacity to retain the orthodontic treatment results although there was a significant difference V-CTC (0.9) and V-S (1.2) vs. P (2.0). The major part of relapse took place in the lower front during the 1st year of retention.

Discussion

From a clinical point of view, it was found that the three retention methods after 2 years of retention had a good and successful capacity to retain the orthodontic treatment results. We did find some significant differences in stability but it is important to remember that these changes were small and of no clinical significance. Crowding located to one or two teeth are clinically significant but in this study we did not take into account how the crowding was allocated. Thus, the initially stated null hypothesis could not be rejected.
This study implies that the use of any of the three tested retention methods was the most important factor in retaining the treatment result in the lower front during a 2-year retention period during adolescence even when the results were adjusted for cooperation, initial crowding, growth and gender. Our inclusion criteria ensured that these three patient groups were representative of the main patient group receiving orthodontic treatment, i.e. bimaxillary crowding treated with extraction of four premolars and fixed appliance.

Kuijpers–Jagtman (17) clearly stated that 50% of the relapse occurred within the first 2 years of retention. However, few studies have reported stability after a second year of retention. Our results showed that there were only small changes and differences during the 2nd year of retention and this implies that the 1st year of retention is the most important to retain the treatment result.

The differences in mean intercuspid distance in the maxilla revealed that a vacuum-formed retainer was more stable than a prefabricated positioner in soft material in keeping this distance. On the other hand, both appliances seemed to keep the other variables in the maxilla in an equal manner. Our results also confirm, like other studies, that part-time use of retainers is sufficient (6, 7, 10). Moreover, the mean changes of mandibular intercuspid distance and LII were similar to those confirmed by Renkema et al. (18). In a retrospective 5-year study they found that 94 out of 235 patients with a CTC had an increase in LII.

Discussions on cons and pros for CTC and stripping are ongoing. From this study it can be concluded that CTC’s often are superfluous. Pandis et al. (19) stated that CTC’s cannot be used without taking into account patient attitude toward oral hygiene. On a long-term basis of

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**Table 4. The mean Little’s Irregularity Index in the mandible for Group A with initial mild (LII < 3.5 mm) and Group B with initial severe (LII = >6.5 mm) lower front crowding at start of treatment, at start of retention and after 2 years of retention**

<table>
<thead>
<tr>
<th></th>
<th>N</th>
<th>Mean</th>
<th>SD</th>
<th>T-Test</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Start of treatment</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>A</td>
<td>19</td>
<td>1.8**</td>
<td>1.0</td>
<td>0.004**</td>
</tr>
<tr>
<td>B</td>
<td>31</td>
<td>9.4**</td>
<td>2.3</td>
<td></td>
</tr>
<tr>
<td><strong>Start of retention</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>A</td>
<td>19</td>
<td>0.3</td>
<td>0.3</td>
<td>0.513</td>
</tr>
<tr>
<td>B</td>
<td>31</td>
<td>0.4</td>
<td>0.4</td>
<td></td>
</tr>
<tr>
<td><strong>2 years of retention</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>A</td>
<td>18</td>
<td>0.9</td>
<td>0.5</td>
<td>0.063</td>
</tr>
<tr>
<td>B</td>
<td>28</td>
<td>1.4</td>
<td>1.3</td>
<td></td>
</tr>
</tbody>
</table>

**p < 0.01.
10 years they found a significant increase in calculus accumulation and probing depth of the teeth embraced by the CTC. Furthermore, Watt et al. (20) found that bonded retainers had a negative impact on the damping properties of the periodontal tissues. In a prospective multicentre study Tacken et al. (21) concluded that after 2 years of retention patients in the control group had significantly less gingival inflammation, bleeding on probing and plaque index than patients in the three groups with different bonded retainers. Despite this fact they summarize that the multistranded lingual retainer remains the gold standard. In addition, Zachrisson et al. (22) found in a retrospective 10-year follow-up study of patients given a combination of stripping and CTC that stripping per se did neither cause any iatrogenic damage to the lower front teeth nor cause any problems regarding caries and periodontal disease. Moreover, Aasen and Espeland (23) presented a retrospective 3-year follow-up of stripping and described the results as successful and this is in line with our results.

When it comes to relapse and growth in the adolescent period our results are in line with Fudalej et al. (12) who could not find any association between skeletal growth and long-term stability of incisor alignment. On the other hand, and contrary to our study Ormiston et al. (24) found in a retrospective study that male sex and prolonged growth were associated with increased instability.

From this study new questions arise, such as the long-term stability, which of the methods is to be preferred from a cost-effectiveness point of view, root resorptions and a cephalometric analyses of the material? To accomplish this, new studies have been commenced and will be presented.

Conclusions

- All 3 types of retention methods were equally effective in controlling relapse to a clinically acceptable level.
- The major part of relapse took place during the 1st year of retention while small or negligible changes were found during the 2nd year.

Clinical relevance

As the major part of relapse takes place during the 1st year of retention it essential to have a successful start of the retention period. This study showed that retention with a vacuum-formed retainer in the upper jaw combined with either a CTC or stripping, and a prefabricated positioner were equally effective. This enables the clinician to avoid the routine use of a CTC and to choose between retention appliances taking into account orthodontic diagnosis, cooperation expectations and patient wishes.

Funding

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References


